

PATENT EXPRESS MAIL NO. EL700670906US Attorney Docket No. UND004 Client/Matter No. 83208.0007 Confirmation No. 5504

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gregory Hagan Moulton and James John Zarbock

Group Art Unit: 2131

Serial No. 09/777,139

Examiner:

Filed: February 5, 2001

For: SYSTEM AND METHOD FOR

REDUNDANT ARRAY NETWORK STORAGE

CERTIFICATE OF MAILING BY EXPRESS MAIL

BOX MISSING PARTS

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

- 1. Response to Notice to File Missing Parts;
- 2. Copy of Notice to File Missing Parts of Application Filing Date Granted;
- 3. Executed Declaration;
- 4. Fee Transmittal and check in the amount of \$644 (filing fee and surcharge);
- 5. Recordation Form Cover Sheet PTO 1595 with Executed Assignment and Recording Fee of \$40.00;
- 6. Certificate of Mailing By Express Mail;
- 7. Return postcard;

relating to the above application, were deposited as "Express Mail", Mailing Label No. EL700670906US, with the United States Postal Service, addressed to BOX MISSING PARTS, The Assistant Commissioner for Patents, Washington, D.C., 20231, on

Maile

20 June 2001.

29 June 200

Date

Date

2001

William J. Kubida, Reg. No. 29,664

HOGAN & HARTSON LLP

One Tabor Center

1200 17th Street, Suite 1500

Denver, Colorado 80202

(719) 448-5909 Tel

(303) 899-7333 Fax



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

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Group Art Unit: 2131

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RESPONSE TO NOTICE TO FILE MISSING PARTS

BOX MISSING PARTS Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, Filing Date Granted, mailed April 25, 2001, submitted herewith is a signed Declaration for Patent Application, a check in the amount of \$644 to cover \$579 for the filing fee and \$65 for a small entity status, and a copy of the PTO Notice form. Any fee deficiency associated with this communication may be charged to Deposit Account No. 50-1123.

Applicant claims small entity status as set forth in 37 CFR 1.27.

Also enclosed is a Recordation Form Cover Sheet PTO 1595 with executed Assignment and recording fee of \$40.00. Please forward the Assignment to the Recording Branch for recording.

Dated: 25

William J. Kubida, Registration No. 29,664

HOGAN & HARTSON LLP

One Tabor Center

1200 17th Street, Suite 1500 Denver, Colorado 80202

(719) 448-5909 Tel

(303) 899-7333 Fax

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(\$) 684.00

	Complete if Known				
	Application Number	09/777,139			
	Filing Date	February 5, 2001			
	First Named Inventor	Gregory Hagan Moulton et al.			
	Examiner Name				
	Group / Art Unit	2131			
	Attorney Docket No.	UND004			

The Commissioner is hereby subnotized to charge indicated place and oredit any over payments to the angle of the a	METHOD OF PAYMENT (check one)			FEE CALCULATION (continued)				
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Name (Print/Type) William J. Kubida Registration No. (Attorney/Agent) 29,664 Telephone (719) 448-5909 Signature Date	SUBTOTAL (2) (\$) 224.00			d by Basic Fl	ling Fee Paid SUBTOTAL (3)	(\$)105.00		
Signature (Attorney/Agent) 29,864								
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UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 1

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/777,139

02/05/2001

Gregory Hagan Moulton

UND004

CONFIRMATION NO. 5504

FORMALITIES LETTER

OC000000006007390

William J. Kubida, Esq. Hogan & Hartson, LLP 1200 17th Street Suite 1500 Denver, CO 80202

Date Mailed: 04/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$448.
 - \$288 for 16 total claims over 20.
 - \$160 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1288.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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01 FC:201 355.00 QP 02 FC:205 65.00 QP 03 FC:203 144.00 QP 04 FC:202 80.00 QP